

Programme 16 May

08:30-09:00 Registration and networking breakfast - sponsored by GTR

09:00-09:10 Welcome remarks

Alex Fraser, Chief Executive, The London Institute of Banking & Finance

09:10-09:20 Setting the scene

David Morrish, Relationship Director, The London Institute of Banking & Finance

09:20-10:15 The Professor James E. Byrne Memorial Keynote Address

Presenter: Dr Rebecca Harding, CEO and Jack Harding, Head of Political Risk, Coriolis Technologies

10:15-11:00 Panel discussion: top news stories and trends

Moderator: John Turnbull, Director, Certis International

11:00-11:30 Networking break

11:30-11:50 Regulation: de-risking and the impact on trade finance

Presenter: Hugo Verschoren, Consultant, goVer Trade Technologies

11:50-12:10 SWIFT KYC registry: correspondent banks and corporates

Presenter: Joachim von Häenisch, Product Manager KYC Compliance Services, SWIFT

12:10-12:15 Risks to trade finance banks if underlying contracts come into force due to corruption

Presenter: Dr Maximilian Burger-Scheidlin, Executive Director, ICC Austria

12:15-13:00 Panel discussion: regulation and the trade finance gap

Moderator: Neil Chantry, Specialist Consultant

13:00-14:00 Networking lunch

14:00-14:30 Work of the ICC Financial Crime & Risk Policy Group

Presenters: Graham Baldock, Global Head of Financial Crime Compliance for Global Trade and Receivables Finance and Graham Finding, Head of Business Financial Crime Risk, Global Trade and Receivables Finance, HSBC

14:30-15:00 Third party providers: are they subject to the same regulatory rigours as banks? Should they be?

Presenter: Geoff Wynne, Head of Trade & Export Finance, Sullivan & Worcester

15:00-15:45 Panel discussion: third party providers - risks and mitigation

Moderator: Geoff Wynne, Head of Trade & Export Finance, Sullivan & Worcester

15:45-16:15 Networking break

16:15-17:15 Panel discussion: conduct risk in trade finance

Moderator: Neil Chantry, Specialist Consultant

17:15-17:30 Closing remarks

David Morrish, Relationship Director, The London Institute of Banking & Finance

17:30-19:00 Networking drinks

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08:30-09:00 Networking breakfast

09:00-09:10 Setting the scene

David Morrish, Relationship Director, The London Institute of Banking & Finance

09:10-09:30 Free trade zones: risks and implications for financial institutions

Presenter: Anton Moiseienko, Research Fellow Centre for Financial Crime & Security Studies, Royal United Services Institute for Defence and Security Studies (RUSI)

09:30-10:00 Oil 'ship to ship' transfers and the networks supporting them

Presenter: James Byrne, Research Fellow, Royal United Services Institute for Defence & Security Studies (RUSI)

10:00- 10:45 Panel discussion: banks and the prevention of organised financial crime

Moderator: Graham Baldock, Global Head of Financial Crime Compliance for Global Trade and Receivables Finance, HSBC

10:45-11:15 Networking break

11:15-12:00 How technology can help in the fight against financial crime

Presenter: Alexon Bell, Chief Product Officer, Quantexa

12:00-12:45 Panel discussion: digital future - the challenges for large multi-national banks - will the cost of compliance leave small banks behind?

Moderator: Vincent O'Brien, Advisor on Trade Intelligence and Innovation, China Systems Corporation

12:45-13:45 Networking lunch

13:45-14:15 Red flags in the sunset

Presenter: Vincent O'Brien, Advisor on Trade Intelligence and Innovation, China Systems Corporation

14:15-15:00 Trade finance fraud: risk and response

Presenter: Pottengal Mukundan, Director, International Maritime Bureau

15:00-15:15 Short break

15:15-16:45 The impact of US and EU sanctions on trade finance activities: analyses of real case studies

Presenter: Herman Annink, Managing Partner and Director RSM Netherlands (GRC) Consultancy

16:45-17:00 Closing remarks

David Morrish, Relationship Director, The London Institute of Banking & Finance



Panel synopses – 16 May

10:15-11:00

Panel discussion: top news stories and trends

Overview of the top news stories, trends and developments in trade finance compliance:

- **KYC:** Is the lack of consistency between regulators and practitioners a concern? Are banks doing too little or too much?
- Is over reliance being placed on fintech screening solutions?
- **Basel IV (or Basel III extension)** remains a concern: but positive news on the capital treatment of credit risk mitigation.
- **Wolfsberg Group Trade Finance Principles Update:** guidance on open account and receivables techniques.

Moderator: John Turnbull, Director, Certis International

Panellists:

Graham Finding, Head of Business Financial Crime Risk, Global Trade and Receivables Finance, HSBC

Abdul Kabiri, Director - Head of Client Services, MUFG Bank

Krishnan Ramadurai, Global Head of Capital Management, Global Trade and Receivables Finance, HSBC

Geoff Wynne, Head of Trade & Export Finance, Sullivan & Worcester

Sven Bates, Senior Associate, Baker McKenzie

12:15-13:00

Panel discussion: regulation and the trade finance gap

Moderator: Neil Chantry, Specialist Consultant

Panellists:

Kamola Makhmudova, Senior Banker, Trade Facilitation Services, European Bank for Reconstruction and Development

Dr Maximilian Burger-Scheidlin, Executive Director, ICC Austria

Krishnan Ramadurai, Global Head of Capital Management, Global Trade and Receivables Finance, HSBC

Hugo Verschoren, Consultant, goVer Trade Technologies

Joachim on Häenisch, Product Manager KYC Compliance Services, SWIFT

15:00-15:45

Panel discussion: third party providers – risks and mitigation

Moderator: Geoff Wynne, Head of Trade & Export Finance Group, Sullivan & Worcester

Panellists:

Sven Bates, Senior Associate, Baker McKenzie

Anousheh Bromfield, Associate Clyde & Co

Silja Calac, Senior Underwriter, Swiss Re Corporate Solutions, Head of Insurance Relations and Treasurer of ITFA.

Nicolas Clavel, Chief Investment Officer, Scipion Capital

16:15-17:15

Panel discussion: conduct risk in trade finance

- Do we always promote the right trade products for the customer? Have banks been pushed into doing things they shouldn't?
- Have we miss-sold in the past? Should this be part of any post implementation review?
- Where we are going? Banks need a full understanding of what they are being asked to do.
- Compliance: an integral part of new product/new channel development in a digital age.

Moderator: Neil Chantry, Specialist Consultant

Panellists:

Herman Annink, Managing Partner and Director, RSM Netherlands (GRC) Consultancy

Karl Klink, Director of Trade Finance Product Transaction Banking EMEA, MUFG Bank

Vincent O'Brien, Advisor on Trade Intelligence and Innovation, China Systems Corporation

Hugo Verschoren, Consultant, goVer Trade Technologies

Panel synopses – 17 May

10:00-10:45

Panel discussion: banks and the prevention of organised financial crime

Moderator: Graham Baldock, Global Head of Financial Crime Compliance, Global Trade and Receivables Finance, HSBC

Panellists:

Anousheh Bromfield, Associate Clyde & Co

James Byrne, Research Fellow, Proliferation and Nuclear Policy, Royal United Services Institute for Defence and Security Studies (RUSI)

Jeremy Smith, Associate Director, Institute of International Banking Law & Practice

Anton Moiseienko, Research Fellow, Centre for Financial Crime & Security Studies, Royal United Services Institute for Defence and Security Studies (RUSI)

Pottengal Mukundan, Director, International Maritime Bureau

12:00-12:45

Panel discussion: digital future – the challenges for large multi-national banks – will the cost of compliance leave small banks behind?

- Down scale issue – big banks can lead and invest but medium/small banks may not be able to follow. Data will be King
- How can banks and technology companies work together to reduce trade based financial crime?
- Moving from manual to automated compliance checks: can new technologies replace experience?
- The need for new channel/product development control frameworks ahead of technology

Moderator: Vincent O'Brien, Advisor on Trade Intelligence and Innovation, China Systems Corporation

Panellists:

Alexon Bell, Chief Product Officer, Quantexa

Graham Finding, Head of Business Financial Crime Risk for Global Trade and Receivables Finance, HSBC

Karl Klink, Director of Trade Finance Product EMEA, MUFG Bank

Mahesh Patankar, Head of Global Pre-sales & Director of Business Development (APAC), Pelican